

Docket No.

210270US0CONT/sdc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tetsuo OZAWA, et al.

SERIAL NO: 09/944,184

GAU: 2672

FILED: September 4, 2001

EXAMINER:

FOR: SQUARYLIUM COMPOUNDS, FILTERS FOR PLASMA DISPLAY PANELS EMPLOYING THEM, AND PLASMA DISPLAY PANEL DEVICES

GP 2672  
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EX. 9.  
4/17/02  
#5

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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DOCKET NO: 213370US0CONT

SERIAL NO: 09/944,184

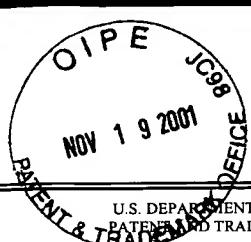
Sheet 1 of 1

Group Art Unit: 2672

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STATEMENT OF RELEVANCY

**Reference AW on Form 1449 is discussed in the specification.**



Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT				ATTY DOCKET NO. 213370US0CONT		SERIAL NO. 09/944,184	
				APPLICANT Tetsuo OZAWA, et al.			
				FILING DATE September 4, 2001		GROUP 2672	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,922,246	07/13/99	S. MATUSHITA, et al.			
	AB	4,175,956	11/27/79	N. F. HALEY, et al.			
	AC	4,353,971	10/12/82	M. S. H. CHANG, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	3 824 099	07/29/98	EUROPE (corr. US 5,922,246)	YES		NO
	AP	2000-43175	02/15/2000	JAPAN (with partial English translation)	X		
	AQ	2000-345059	12/12/2000	JAPAN (with partial English translation)	X		
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Angew. Chem., vol. 77, no. 15, pages 680 - 681 (with English translation)					
	AX	H.-E. SPRENGER, et al., Angew. Chem. Internat. Edit., vol. 7, no. 7, pages 530 - 535, "CYLCOBUTENEDIYLIM DYES", 1968					
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							